

09-05-00

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PATENT APPLICATION TRANSMITTAL LETTER

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Transmitted herewith for filing is the patent application of: **Anthony W. Ulwick**, entitled **"COMPUTER BASED PROCESS FOR STRATEGY EVALUATION AND OPTIMIZATION BASED ON CUSTOMER DESIRED OUTCOMES AND PREDICTIVE METRICS"** including specification, abstract, and claims totaling **Thirty-Eight (38)** pages.

This application is a continuation of U.S. Patent Application Serial No. 09/385,661, filed August 27, 1999, which is a continuation of U.S. Patent Application Serial No. 08/716,948, filed September 20, 1996, now U.S. Patent No. 5,963,910.

Enclosed are:

- ☒ Twenty (20) sheet(s) of ☒ formal, ☐ informal drawing(s).
☐ an assignment of the invention to
☐ Information Disclosure Statement, ☐ Form PTO-1449.
☒ Unexecuted Verified Statement claiming small entity status under 37 CFR 1.9 & 1.27.
☒ Unexecuted Declaration and Power of Attorney for Patent Application by the Inventor
☐ Preliminary Amendment

☒ **CLAIMS AS FILED SMALL ENTITY**

Basic Filing Fee	\$345.00
Total Claims 10 - 20 = exce	0 x \$9.00 = \$0.00
Indep Claims 3 - 3 = exces	0 x \$39.00 = \$0.00
Multiple Dependent Claims	0 x \$130.00 = \$0.00

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TOTAL	\$345.00
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☐ **CLAIMS AS FILED OTHER THAN A SMALL ENTITY**

- ☒ A duplicate copy of this sheet is enclosed.
☒ Certificate of Express Mail No. **EL619725997US**.
☒ A check in the amount of **\$345.00** to cover the filing fee is enclosed.
☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 13-1130 as described below. **I have enclosed a duplicate copy of this sheet.**

- ☐ Charge the amount of \$ as filing fee.
☒ Credit any overpayment.
☒ Charge any additional filing fees required under 37 CFR 1.16 and 1.17.
☐ Charge the issue fee set in 37 CFR 1.18 at the mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).

August 31, 2000
 Date

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Attorney Docket No. 9509.4824

Serial Number:

TO BE ASSIGNED

File Number:


9509.4824

CERTIFICATE OF EXPRESS MAIL

I HEREBY CERTIFY that the following correspondence: Continuation Utility Patent Application comprised of: Patent Application Transmittal Letter and Two (2) photocopies of same; Thirty-Eight (38) pages of invention disclosure including Specification, Abstract, and Claims; Twenty (20) pages of formal drawings; Unexecuted Declaration and Power of Attorney for Patent Application; Unexecuted Verified Statement (Declaration) Claiming Small Entity Status; One (1) check in the amount of \$345.00 as the filing fee; and a Return Postcard for confirmation of receipt, being deposited with the United States Postal Service as Express Mail No. EL619725997US, addressed to: Commissioner of Patents and Trademarks, Box Patent, Washington, D.C. 20231, on this 31st day of August, 2000.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code.

Any additional charges, including extension of time, please bill our Account No. 13-1130.


Lisa M. Kerkorian, Paralegal

Date: Thursday, August 31, 2000

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